

Euler- Poincaré Characteristic,  
in the frontier between topology, geometry and  
combinatorics

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# Summary of the course

**1 – Euler-Poincaré characteristic and the classification of compact – surfaces.**

**2/3 – Consequences and applications of the classification theorem and Euler - Poincaré characteristic.**

# Day 1: Euler-Poincaré characteristic and the classification of compact surfaces

- Compact surfaces as labelled  $2n$ -polygons/words
- Sketch of a proof of the classification Theorem

# What is a (compact) surface

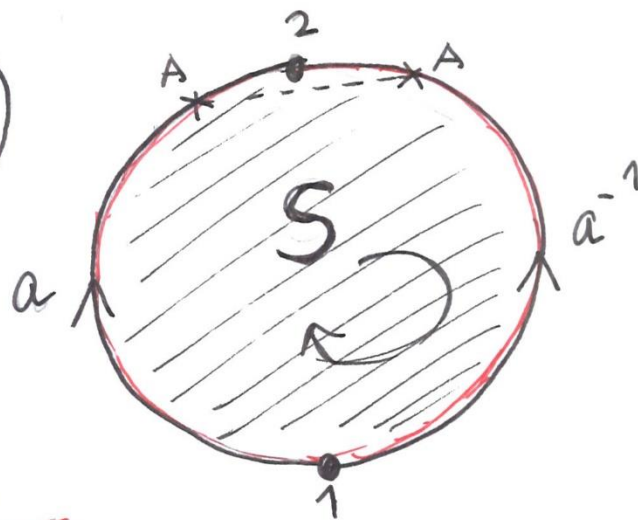
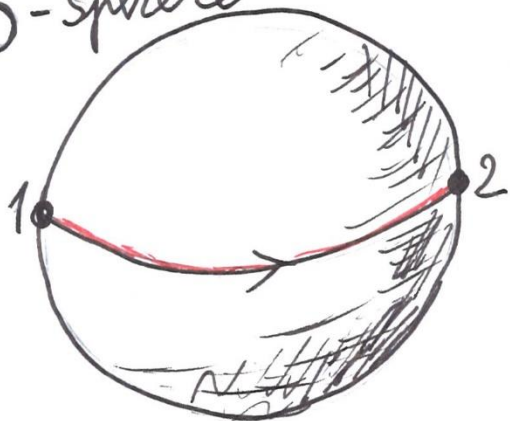
- **Surface** = topological space + **connected + compact + Hausdorff + locally plane**

## 2- dimensional compact manifold with no boundary

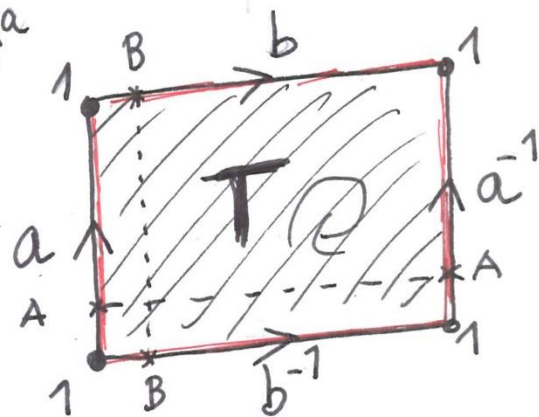
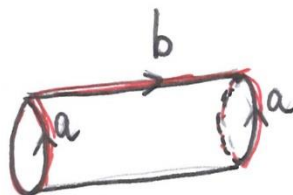
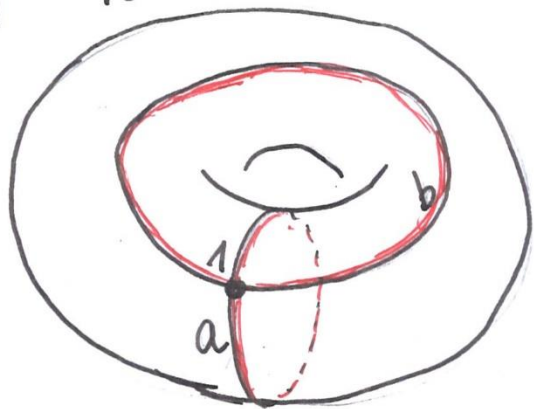
- **Connected** - just one piece (not a union of disjoint open sets)
- **Compact** - every covering by open sets contains a finite covering
- **Hausdorff** = every pair of points have non intersecting neighbourhoods
- **Locally plane** = every point has a neighbourhood homeomorphic to an open disc of the (real euclidean) plane.

# Plane models of surfaces : Examples

$S$ -sphere

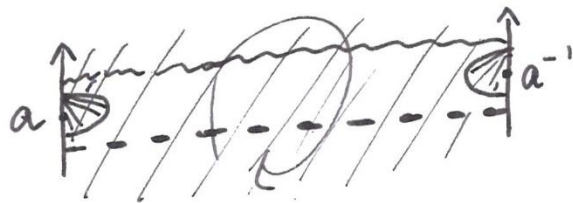
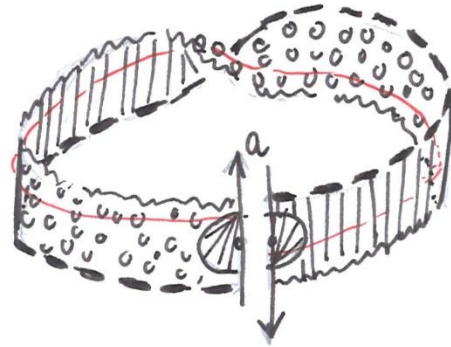
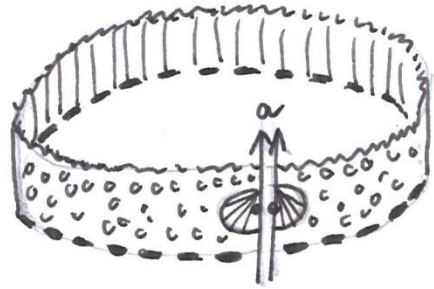


$T$ -Torus

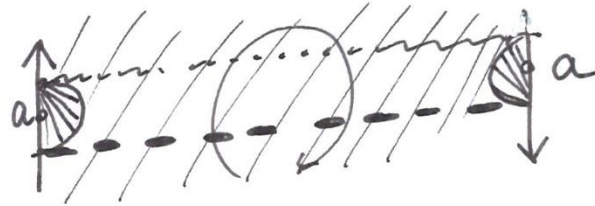


A A

# TWO WAYS OF CUTTING AND PASTING DISCS



BAND



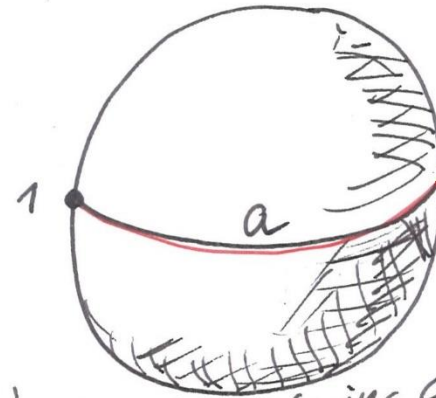
MöBIUS BAND



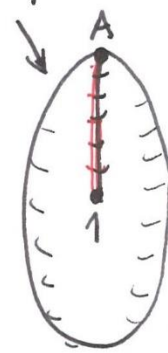
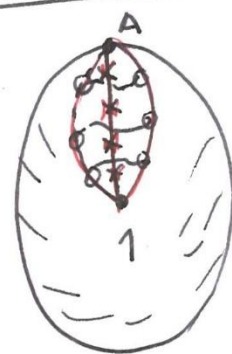
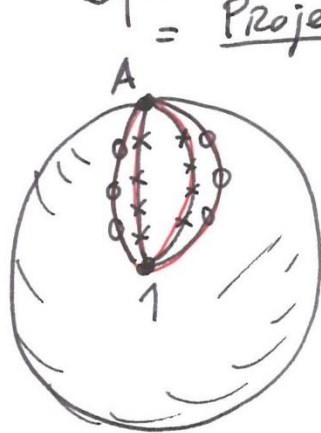
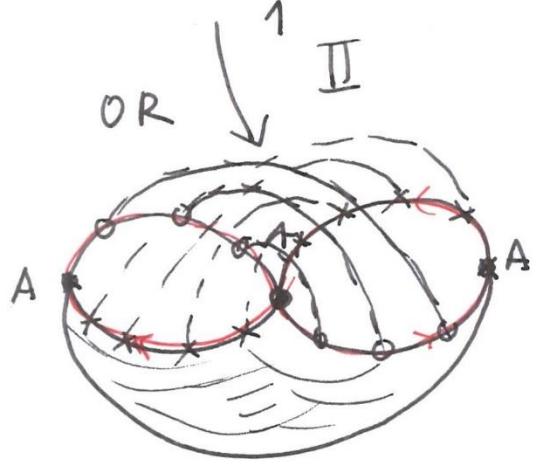
NON-ORIENTABILITY  
OF THE SURFACE

# Construction of a non-orientable surface

NON-ORIENTABLE

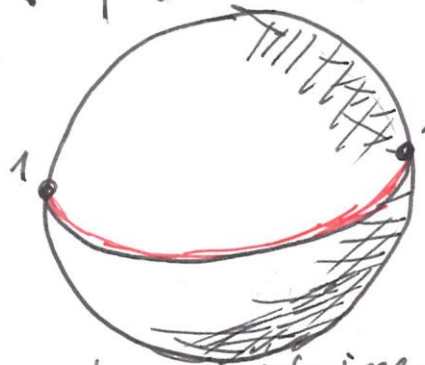


Sphere identifying opposite points  
= Projective Plane



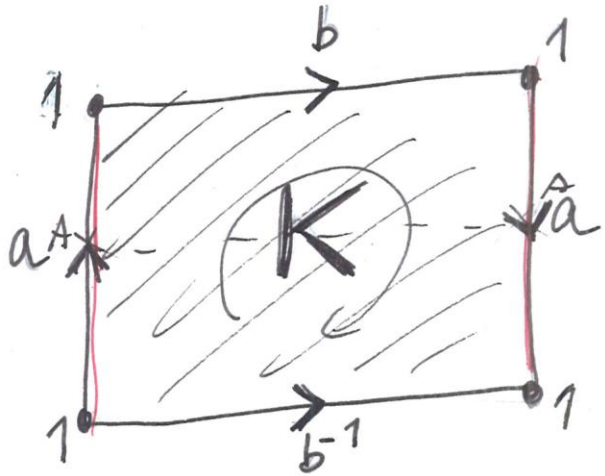
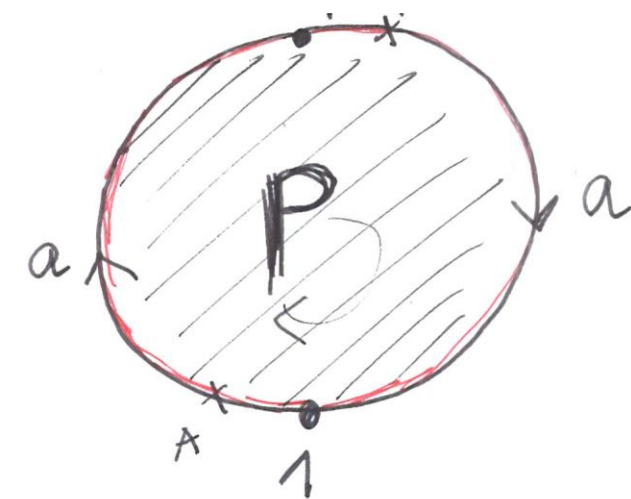
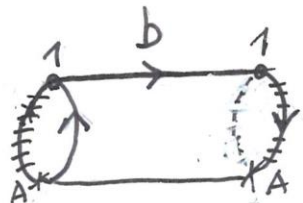
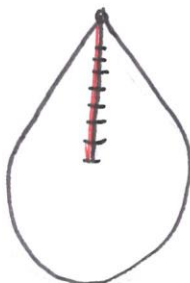
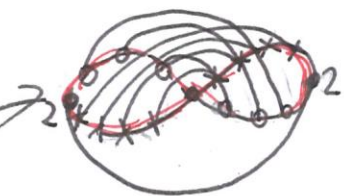
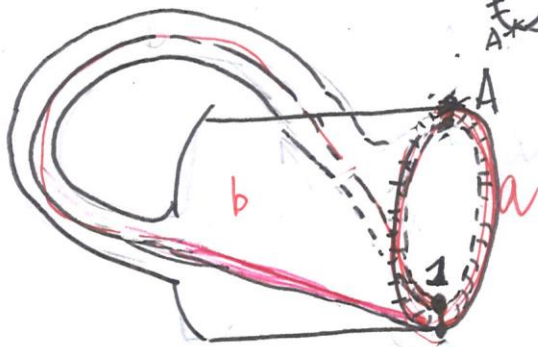
# Non-orientable surfaces: examples

$\mathbb{P}$  - projective plane

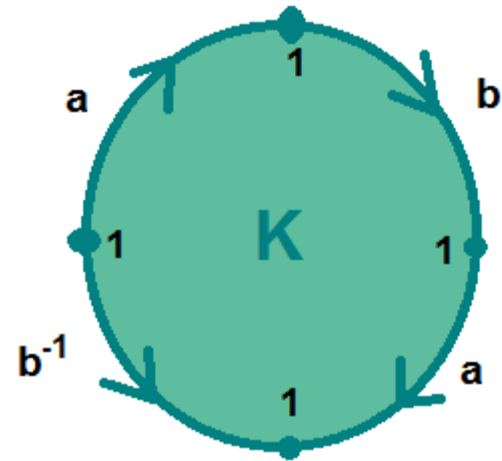
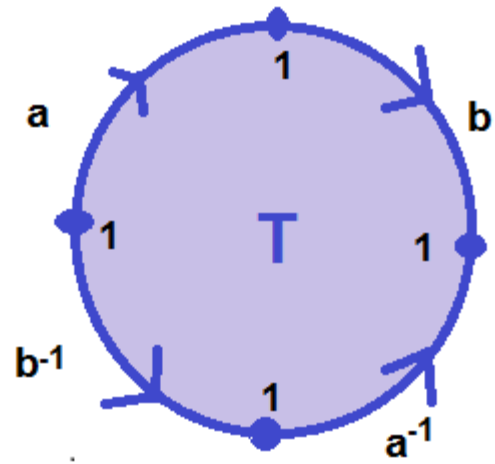
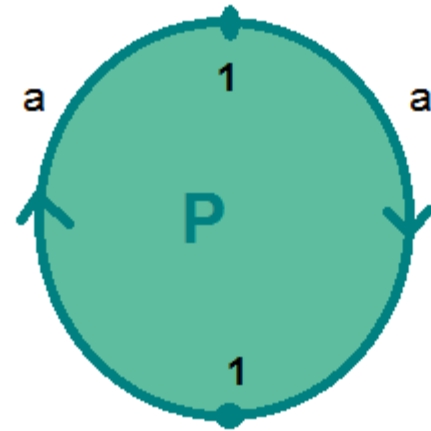
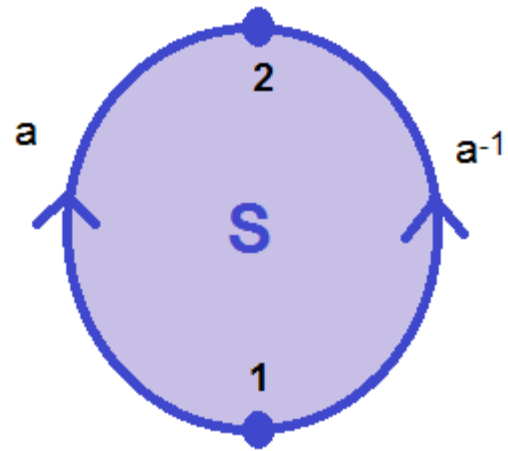


sphere identifying opposite points

$K$  - Klein bottle







# 2N-labelled polygons/words

A **2N-gon with the edges labelled** by N pairs of letters either  $x, x$  or  $x, x^{-1}$  forming a **word** ( indicating how to “sew” the pairs of edges to recover the surface) represents a **surface**.




The extremities of the edges correspond to points on the surface that we number 1,2, ... and are called the **vertices of the plane model** ( not of the polygon! )

**Exercise :** 1) Represent the plane models described by the words:

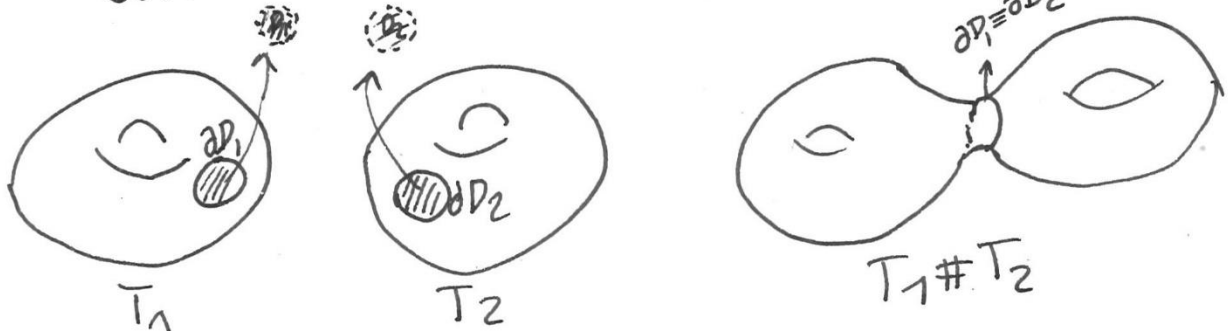
$$S_1 : \mathbf{abcdc^{-1}ba^{-1}ed^{-1}e^{-1}} \quad \text{and} \quad S_2 : \mathbf{abcd^{-1}e^{-1}c^{-1}b^{-1}a^{-1}de}$$

- 2) Do they represent an orientable or a non-orientable surface? Why?
- 3) Determine the number of edges and vertices of the plane model.

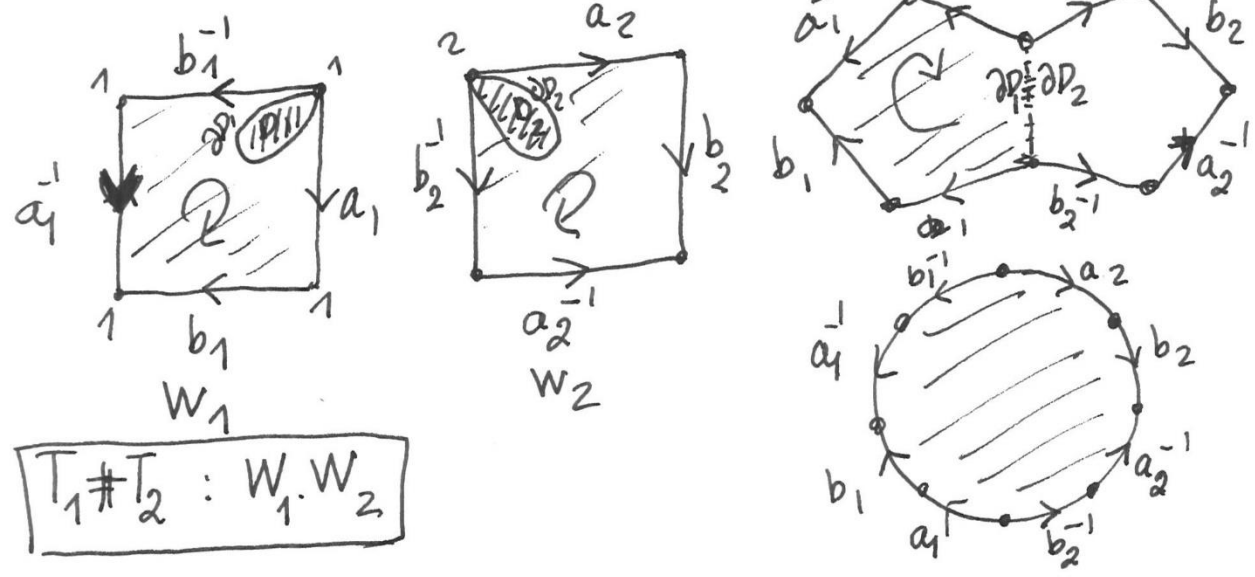
We prove next, by defining elementary operations on words that do not change the surface represented , that any word with N pairs of letters  $x, x$  or  $x, x^{-1}$  has a **normal form** corresponding to a surface. This proves 

# Connected sum of surfaces

## CONNECTED SUM OF SURFACES



## WITH PLANE MODELS




Elementary transformations on a word  $W$  that do not change the (class of homeomorphism of the) represented surface

1) **cyclic permutation of the letters**

$$a_1 b_1 a_2 b_2 a_2^{-1} b_2^{-1} a_1^{-1} b_1^{-1} = a_1^{-1} b_1^{-1} a_1 b_1 a_2 b_2 a_2^{-1} b_2^{-1}$$

2) **Introduction or removal of a sequence  $x x^{-1}$**  in words with more than one pair of letters.

3)  $A x B C x^{-1}$    $A x C B x^{-1}$  **orientable case**

4)  $A x B x C$    $A y y B^{-1} C$  **non orientable case**

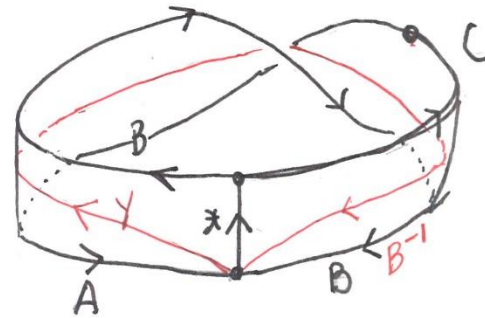
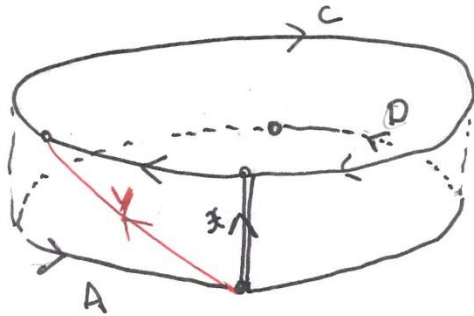
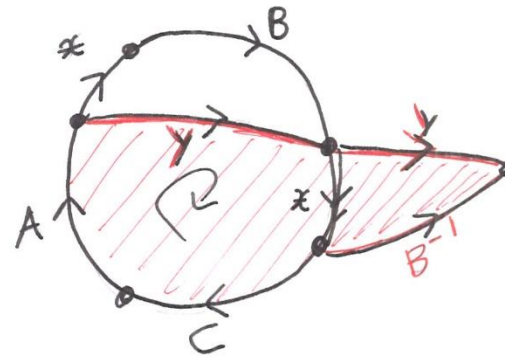
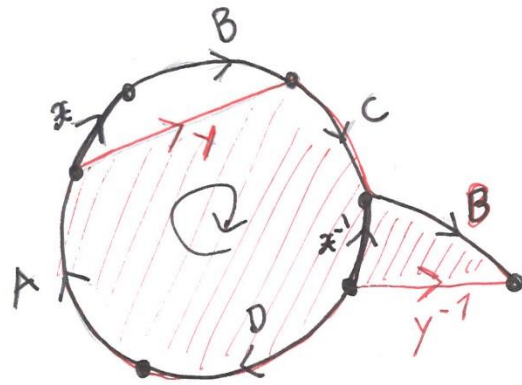
if  $B = b_1 \dots b_k$  then  $B^{-1} = b_k^{-1} \dots b_1^{-1}$  .

5) Replace the word  $W$  by  $W^{-1}$

# Elementary operations 3 and 4

$$A x B C x^{-1} \rightarrow A x C B x^{-1}$$

$$A x B x C \rightarrow A y y B^{-1} C$$



# From words/plane models to surfaces

1) Any **orientable word**  $W$  is either a **sphere**  $S$  or a **connected sum**,  $mT$ , of  $m$  torus.

$W$  an **orientable word** with  $n$ -pairs of letters  $x, x^{-1}$ .

A pair  $x, x^{-1}$  and  $y, y^{-1}$  is a separated pair of  $W$  if

$$W = A x B y C x^{-1} D y^{-1}$$

Using operations 1 to 3,  $W$  is transformed into

$$W \approx x y x^{-1} y^{-1} A D C B$$

Therefore  $W \approx mT \cdot V$  where  $V$  is a word with no separated pair.

If  $W$  is orientable, using oper. 2,  $V$  reduces to  $V \approx xx^{-1}$ .

# From words/plane models to surfaces

1) Any **non-orientable word**  $W$  reduces to  $W \approx kP$  or  $W \approx kP \cdot mT \approx mT \cdot kP$

$W$  is a **non orientable word** with  $n$ -pairs of letters  $x, x^{-1}$  or  $x, x$  with at least one pair  $x, x$ .

Using Op.s 1 and 5:  $W \approx xAxB \approx xxAB$

(not that easy!) Assume  $W \approx tP \cdot Q_t$ .

Prove that if  $Q_t$  still contains a pair  $x, x$  then  $W \approx (t+1)P \cdot Q_{t+1}$

where  $Q_{t+1}$  contains two edges less than  $Q_t$ .

**Conclude** that  $W \approx kP$  or  $W \approx kP \cdot mT \approx mT \cdot kP$

**Every word/plane model represents a surface !**



# Classification of compact surfaces – Theorem I

**Theorem** (known late XIX, Kerékjártó 1923, Rado 1924, Seifert Threlfall 1934)

Let  $W$  be a  $2N$ -word with  $N$ -pairs of letters  $a_i, a_i$  or  $a_i, a_i^{-1}$ ,  $i=1, \dots, N$ .

Then using the elementary operations 1) to 5)  $W$  can be reduced to a canonical form  $W_N$ , representing a compact surface:

If  $W$  is orientable (no pair  $a_i, a_i$ ) then

Either  $W_N = a_1 a_1^{-1}$  representing a Sphere  $S$

$$\text{Or } W_N = \underbrace{a_1 a_2 a_1^{-1} a_2^{-1}} \underbrace{a_3 a_4 a_3^{-1} a_4^{-1}} \dots \underbrace{a_{m-1} a_m a_{m-1}^{-1} a_m^{-1}} = mT$$


If  $W$  is non-orientable (a pair  $a_i, a_i$ ) then

Either  $W_N = a_1 a_1$  representing a (Real) Projective Plane  $P$  

$$\text{Or } W_N = \underbrace{a_1 a_2 a_1^{-1} a_2^{-1}} \dots \underbrace{a_{s-1} a_s a_{s-1}^{-1} a_s^{-1}} \underbrace{b_1 b_1} \dots \underbrace{b_k b_k} = sT \cdot kP = mT \cdot P \text{ or } mT \cdot K$$



## Exercise 2:

- 1) Prove that the connected sum of two projective planes is a Klein bottle:  $P.P \approx K$ .
- 2) Prove that the connected sum of two projective planes is a Klein bottle:  $PPP \approx PK \approx TP$
- 3) Conclude equality  of the Classification of compact surfaces

**Exercise 3 :** Reduce the words of Exercise 1 to the normal form with the elementary operations and classify the surfaces :

$$S_1 : \mathbf{abcdc^{-1}ba^{-1}ed^{-1}e^{-1}}$$

$$S_2 : \mathbf{abcd^{-1}e^{-1}c^{-1}b^{-1}a^{-1}de}$$

# Euler – Poincaré characteristic of a surface

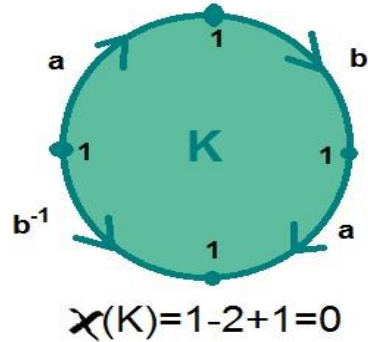
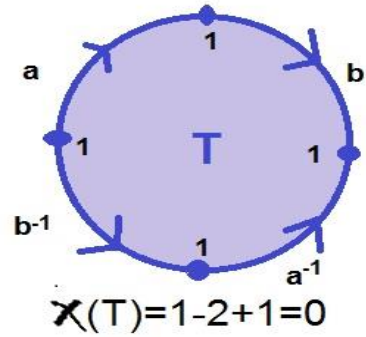
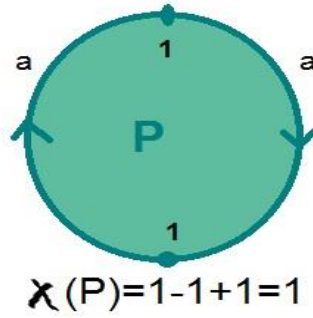
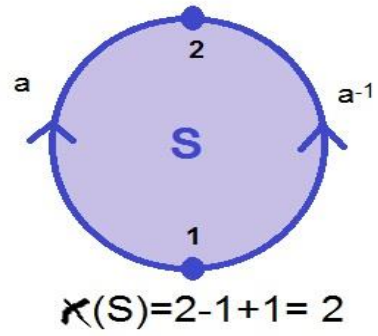
- Euler – Poincaré Characteristic of a plane model -  $W$
- Given a plane model  $W$  of a surface, a labelled  $2N$ -gon, let
- $N := n^\circ$  of pairs of edges
- $v := n^\circ$  of vertices of the labelling
- Then the Euler- Poincaré characteristic of the plane model is:

$$\chi(W) = v - N + 1$$

## Proposition

- 1) *The Euler-Poincaré characteristic of a plane model  $W$  remains invariant under the elementary operations and is, therefore, an invariant of the surface.*
- 2) *The Euler-Poincaré characteristic of a connected sum of two surfaces is given by:*

$$\chi(W_1 + W_2) = \chi(W_1) + \chi(W_2) - 2$$



$$\chi(S) = 2$$

$$\chi(mT) = 2 - 2m$$

$$\chi(P) = 1$$

$$\chi(P + mT) = 1 - 2m$$

$$\chi(K + mT) = -2m$$

# Classification of compact surfaces – Theorem II

## Theorem

*Two surfaces  $S_1, S_2$  are homeomorphic if and only if*

*1)  $\chi(S_1) = \chi(S_2)$*

*and*

*2) Either both  $S_1$  and  $S_2$  are orientable  
or both  $S_1$  and  $S_2$  are non-orientable*

Recall

**Exercise 3 :** Reduce the words of Exercise 1 to the normal form with the elementary operations and classify the surfaces :

$$S_1 : \mathbf{abcdc^{-1}ba^{-1}ed^{-1}e^{-1}}$$

$$S_2 : \mathbf{abcd^{-1}e^{-1}c^{-1}b^{-1}a^{-1}de}$$

Now,

**Exercise 4:** Classify the surfaces of Exercise 1, via Classification Theorem II, via orientability + Euler-Poincaré characteristic of the surface.

# Looking back at our proof

- (1) Labelled  $2n$ -polygon /word model of a surface
- (2) Via Elementary operations that keep the homeomorphism class of the plane model, we proved that any labelled polygon represents a surface that is either orientable and in this case  $S$  or  $nT$ , Or non-orientable and in this case  $P+nT$  or  $K+nT$
- (3) We defined the Euler-Poincaré characteristic of a plane model, observed its invariance under the elementary operations and its behaviour under connected sum. Concluded that Orientability + Euler-Poincaré characteristic a fast way of identifying a plane model.

We may accept the theorem is proved for surfaces represented by plane models.

## What remains to be verified:

- (1) Does every compact surface have a plane model? **YES ! But not at all obvious.** 

**Proof :** every compact surface has a **triangulation**, i.e. is homeomorphic to a surface built up by juxtaposition of triangles along their edges.

- (2) Are all the types of surfaces described non-homeomorphic? **YES ! But not at all obvious.** 

**Proof:** homeomorphisms preserve orientability and the Euler-Poincaré characteristic (the normal word representing a plane model encodes the **fundamental group of the surface**).

**Note:** Poincaré conjecture concerns the fundamental group of the sphere.

End for today